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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents
United States Patent and Trademark
Office
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Washington, D.C.20231
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year)

14 August 2000 (14.08.00)

International application No.

PCT/JP99/07053

Applicant's or agent's file reference

S98564/PCT

International filing date (day/month/year)

15 December 1999 (15.12.99)

Priority date (day/month/year)

15 December 1998 (15.12.98)

Applicant

NAJDA, Stephen, Peter

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

10 July 2000 (10.07.00)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

Kiwa Mpay

Telephone No.: (41-22) 338.83.38

International application No. PCT/JP99/07053

International filing date: 15/12/1999

APPLICANT: SHARP KABUSHIKI KAISHA et al.

22-22, Nagaikicho, Abeno-ku, Osaka-shi, Osaka
545-8522 Japan

To European Patent Office

D-80298 Munich

Fed. Rep. of Germany

AMENDMENT UNDER ARTICLE 34
AND REPLY TO WRITTEN OPINION

Sirs:

A. The amendments to the claims:

Claims 25, 26, 27 and 28 are added.

That the amended claims are fully supported in the PCT and priority applications as filed, and contain no new matter.

B. Reply to Written Opinion:

The examiner has asserted that the Written Opinion has been drawn with respect to the items IV, V, VII and VIII as indicated in the second paragraph of the front page of the Written Opinion.

Re Item IV

A technical relationship among the subject-matters of all of the claims does exist, because all of the claims share special technical features or a correspondence between these

special technical features does exist. Consequently, the application relates to a single invention that is so linked as to form a single general inventive concept.

Re Item V

There exists clear distinctions between the present invention and the reference D2 as follows,

Claim 1 describes the general concept of the present invention and specifically recites that electrons in both G and X bands are reflected by a barrier to stop carrier loss. It has been shown more recently that carrier loss in the X-band may be significant.

In Bour's citation (US 5,509,024) there are two points to consider;

- 1) The patent was written some time ago when there was uncertainty in the band off-sets. Fig. 3 has the AlAs barrier X band above the AlGaInP waveguide G band. In light of more recent results on the band-off-set, the X band would be below the AlGaInP waveguide G band, creating an X-band well for electrons and not a barrier.
- 2) Claim 20 describes an Invention taking into account the more up to date band off-sets and describes an all phosphide structure with a thin AlP or GaP reflecting layer. A thin strained AlP or GaP reflecting layer has a very large G band barrier (bigger than for AlAs as in Bour's citation and thus should be more effective). The strain also increases the X-band height such that it is degenerate with the AlGaInP G band waveguide region, (the best you can do while lattice matched to GaAs), such that there is no X-band quantum well formed and a small X-band barrier occurs between the AlP or GaP and AlGaInP cladding region. The patent goes on to consider two strain compensated layers in unison to get a large barrier for both G and X electrons.

Date: 19.12.2000

A handwritten signature in black ink, appearing to read 'Shusaku Yamamoto', is written over a horizontal line.

7828 Patent Attorney
YAMAMOTO Shusaku

Shusaku Yamamoto Patent Law Offices
15th Floor Crystal Tower,
2-27, Shiromi 1-chome,
Chuo-ku, Osaka-shi
Osaka 540-6015 Japan

wherein an electron-reflecting layer for reflecting Γ -electrons is provided at the p-side of the active region; and

5 wherein the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the electron-reflecting layer.

10 21. A device according to claim 20, wherein the optical guiding region is formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$, and the electron-reflecting layer is formed of AlP.

22. A device according to claim 20, wherein the electron-reflecting layer is p-doped.

15 23. A device according to claim 20, wherein the electron-reflecting layer is disposed between the optical guiding region and the p-doped cladding region.

20 24. A device according to claim 20, wherein the device is a separate confinement heterostructure laser device.

wherein an electron-reflecting layer for reflecting Γ -electrons is provided at the p-side of the active region; and

5 wherein the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the electron-reflecting layer.

21. A device according to claim 20, wherein the optical guiding region is formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$, and the
10 electron-reflecting layer is formed of AlP.

22. A device according to claim 20, wherein the electron-reflecting layer is p-doped.

15 23. A device according to claim 20, wherein the electron-reflecting layer is disposed between the optical guiding region and the p-doped cladding region.

24. A device according to claim 20, wherein the device is
20 a separate confinement heterostructure laser device.

25. (Added) An optical semiconductor device comprising:
an active region; and
a p-doped cladding region disposed on one side of the

active region,

wherein at least one electron-reflecting layer is provided on a p-side of the active region of reflecting Γ -electrons.

5

26. (Added) A device according to claim 25, wherein the at least one electron-reflecting layer is fabricated in a phosphorus system.

10

27. (Added) A device according to claim 26, wherein the at least one electron-reflecting layer is provided on the p-side of the active region for reflecting both Γ -electrons and X-electrons, the at least one electron-reflecting layer providing a greater potential barrier to Γ -electrons than the p-doped cladding region.

15

28. (Added) A device according to claim 26, wherein said active region has at least one potential well, and is disposed in an optical guiding region,

20

n-doped and p-doped cladding regions are disposed on opposite sides of the optical guiding region, and

the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the at least one electron-reflecting layer.

REC'D 23 MAR 2001

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference S98564/PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP99/07053	International filing date (day/month/year) 15/12/1999	Priority date (day/month/year) 15/12/1998
International Patent Classification (IPC) or national classification and IPC H01S5/32		
Applicant SHARP KABUSHIKI KAISHA et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 7 sheets, including this cover sheet.

- ☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 2 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 10/07/2000	Date of completion of this report 21.03.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Jobst, B Telephone No. +49 89 2399 2437 

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/JP99/07053

I. Basis of the report

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

Description, pages:

1-40 as originally filed

Claims, No.:

1-24 as originally filed

25-28 as received on 21/12/2000 with letter of 19/12/2000

Drawings, sheets:

1/11-11/11 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/JP99/07053

- ☐ the description, pages:
☐ the claims, Nos.:
☐ the drawings, sheets:

5. ☒ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

see separate sheet

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-24
	No:	Claims	
Inventive step (IS)	Yes:	Claims	2, 8-16, 18, 21
	No:	Claims	1, 3-7, 17, 19, 20, 22-24
Industrial applicability (IA)	Yes:	Claims	1-24
	No:	Claims	

2. Citations and explanations
see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:
see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

Re Item I

Basis of the report

1. The amendments filed with the letter dated 19.12.2000 introduce subject-matter which extends beyond the content of the application as filed, contrary to Article 34(2)(b) PCT. The amendments concerned are the following:

Claim 25:

The application documents as filed disclose optical semiconductor devices according to claims 1 or 20. The application documents as filed do not disclose the subject-matter of claim 25, i.e. an optical semiconductor device according to claim 25, said device **not** comprising either feature A) the electron-reflecting layer reflecting at the same time X-electrons wherein the electron reflecting layer provides a greater potential barrier to Γ -electrons than the p-doped cladding region or feature B) the Γ -conduction band of an optical guiding region being substantially degenerate with the X-conduction band of the electron reflecting layer.

Consequently, the subject-matter of claim 25 is a generalisation that introduces new subject-matter.

Claims 26-28:

The application documents as filed disclose that the semiconductor device and the reflecting-layer(s) may consist of the materials specified in claims 13 and 14. The feature of claim 26, i.e. the electron-reflecting layer being fabricated in a 'phosphorous system' is a generalisation that introduces new subject-matter. As a consequence, the claims 27 and 28 which depend on claim 26 also contain subject-matter extending beyond the content of the application as filed.

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Reference is made to the following documents:

D1: US-A-5 425 041

D2: US-A-5 509 024

2. The following statement is based on the interpretation of the claims as discussed under Item VIII below. A different interpretation might lead to a different opinion with regard to novelty and inventive step.

3. The present application does not meet the requirements of Article 33 (3) PCT, because the subject-matter of claims 1, 3-7, 17, 19, 20 and 22-24 does not involve an inventive step.

3.1 Document D2 discloses an optical semiconductor device as shown in fig. 1. The device comprises n- and p-doped cladding regions 18, 26, enclosing an optical guiding region 20,24 comprising an active region 22. A tunnel barrier layer 12 for reducing electron leakage into the p-doped region is disposed between the p-cladding region and the optical guiding region (col. 2, l. 15-35).

The band diagram of the known structures shows that in one embodiment (fig. 2) the AIAs barrier reflects both Γ - and X-electrons and provides a greater potential barrier to Γ -electrons than the p-doped cladding region. In another embodiment (fig. 3) the AIAs barrier reflects Γ -electrons and the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the AIAs layer.

3.2 The applicant states in the description of the present application that the band offsets of the AlGaInP system assumed by the inventors of D2 were not correct and that the structures disclosed therein would not fall within the scope of claims 1 and 20 (p. 11, l. 9-16).

3.3 However, - contrary to the impression given on p. 11 of the description of the present application - D2 does not only disclose a structure having one specific composition, but teaches to use a whole range of compositions.

D2 clearly teaches that the tunnel barrier layer may be formed of GaP (col. 2, l. 20-22), that the optical guiding region may be formed of $(\text{Al}_x\text{Ga}_{1-x})_y\text{In}_{1-y}\text{P}$ with $0.2 < x < 0.7$ and $y = 0.5 \pm 0.05$ and that the p-type cladding region may be formed of $(\text{Al}_x\text{Ga}_{1-x})_y\text{In}_{1-y}\text{P}$ with $0.4 < x < 1.0$ and $y = 0.5 \pm 0.05$ (col. 2, l. 31-35).

3.4 Fig. 7 of the present application shows that a structure using the combination of a $(\text{Al}_x\text{Ga}_{1-x})_y\text{In}_{1-y}\text{P}$ optical guiding region with $x=0.4$ and $y=0.48$, a GaP barrier layer and a $(\text{Al}_x\text{Ga}_{1-x})_y\text{In}_{1-y}\text{P}$ cladding region with $0.5 < x < 1.0$ and $y=0.48$ falls within the scope of claim 20 (i.e. the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the AlAs layer). This structure also falls within the range of compositions disclosed in D2 (see par. 3.3 above). Reducing the Al-concentration of the optical guiding layer from $x=0.4$ to values $0.2 < x < 0.4$ - a range that is also taught by D2 - lowers the Γ -conduction band of the optical guiding region (see fig. 1 of the present application) such that a supplemental barrier for X-electrons in the GaP barrier layer is formed. The resulting structure consequently falls within the scope of claim 1.

3.5 When putting the teaching of D2 into practice the skilled person would choose from the whole range of compositions specified in D2 (see par. 3.3 above). As shown in par. 3.4 above, a **significant** portion of the composition range specified in D2 leads to structures falling within the scope of claim 1 and 20. Therefore, the subject-matter of claims 1 and 20 cannot be considered a special, narrow selection from a broad range. Therefore, when putting the teaching of D2 into practice, the skilled person would arrive at optical semiconductor devices falling within the scopes of claims 1 and 20 without applying inventive skills. He would arrive at these optical semiconductor irrespective of the fact that D2 does not refer to the problem of carrier escape via the X-band, which is, however, a known problem (see p. 5 of the present application).

3.6 The features of claims 3-7, 17, 19 and 22-24 are either known from D2 or are a matter of normal design procedure. Consequently, the subject-matter of these claims does not involve an inventive step.

4. Neither D2 nor any of the other available prior art documents indicate the use of separate barrier layers for reflecting Γ - and X-electrons, as defined in claims 2 and 11. Therefore, each of the combination of features of claim 2 and 11 is neither known from nor rendered obvious by the available prior art. Furthermore, the combination of features of claim 21 is neither disclosed nor indicated by the available prior art. Consequently, the subject-matter of claims 2, 11 and 21 is new and involves an inventive step.

4.1 The dependent claims 8-10, 12-16 and 18 add features to the subject-matter of said independent claims and consequently are also considered as being novel and involving an inventive step.

Re Item VII

Certain defects in the international application

1. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document D1 is not mentioned in the description, nor is this document identified therein.
2. The independent claims are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would be appropriate, with those features known in combination from the prior art (document D2) being placed in the preamble (Rule 6.3(b)(i) PCT) and with the remaining features being included in the characterising part (Rule 6.3(b)(ii) PCT).
3. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).

Re Item VIII

Certain observations on the international application

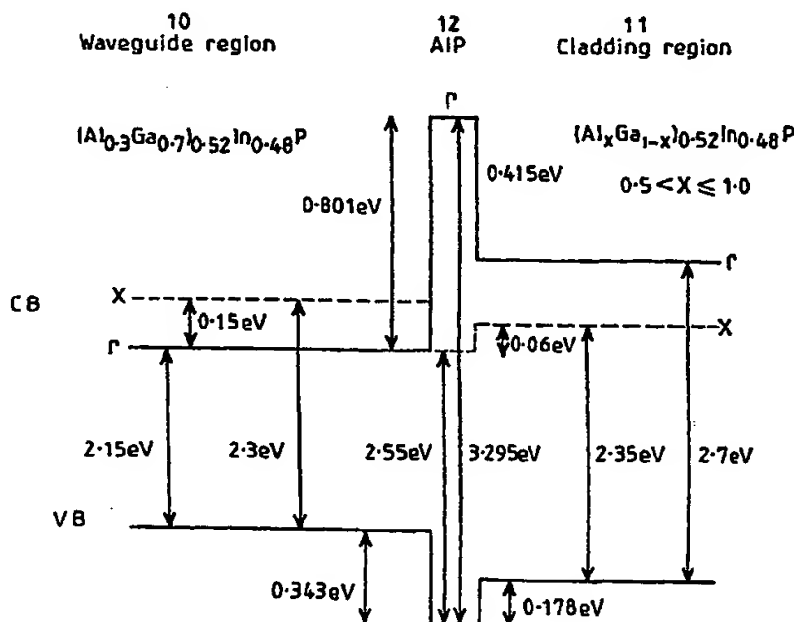
1. It is clear from the description of the present application that the electron-reflecting barrier of the inventive optical semiconductor device is not a multi-quantum barrier (p. 7). However, the wording of the independent claims is such that structures comprising multi-quantum barriers, such as known from D1 (see fig. 6 and col. 5, l. 25-33), fall within the scope of claims 1 and 20. Consequently, the claims are not consistent with the description (Article 6 PCT).



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : H01S 5/32	A1	(11) International Publication Number: WO 00/36719 (43) International Publication Date: 22 June 2000 (22.06.00)
(21) International Application Number: PCT/JP99/07053 (22) International Filing Date: 15 December 1999 (15.12.99) (30) Priority Data: 9827492.1 15 December 1998 (15.12.98) GB (71) Applicant (for all designated States except US): SHARP KABUSHIKI KAISHA [JP/JP]; 22-22, Nagaike-cho, Abeno-ku, Osaka-shi, Osaka 545-8522 (JP). (72) Inventor; and (75) Inventor/Applicant (for US only): NAJDA, Stephen, Peter [GB/GB]; 17 Wykeham Crescent, Oxford OX4 3SD (GB). (74) Agent: YAMAMOTO, Shusaku; Crystal Tower, 15th floor, 2-27, Shiromi 1-chome, Chuo-ku, Osaka 540-6015 (JP).		(81) Designated States: JP, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: AN OPTICAL SEMICONDUCTOR DEVICE



(57) Abstract

An optical semiconductor device comprising: an active region; and a p-doped cladding region disposed on one side of the active region; wherein an electron-reflecting barrier is provided on the p-side of the active region for reflecting both Γ -electrons and X-electrons, the electron-reflecting barrier providing a greater potential barrier to Γ -electrons than the p-doped cladding region.

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DESCRIPTION

An Optical Semiconductor Device

5 TECHNICAL FIELD

This invention relates to an optical semiconductor device and particularly, but not exclusively, to a semiconductor laser device that emits visible radiation in the wavelength range 630nm to 680nm. The laser device may
10 be of the edge-emitting or of the surface-emitting type.

BACKGROUND ART

Laser devices or laser diodes (LDs) fabricated in the (Al,Ga,In)P material system which emit visible light in
15 the 630nm-680nm wavelength range are becoming increasingly important components of professional and consumer products. For example, it is envisaged that the Digital Video Disc (DVD) system will employ a 635nm-650nm wavelength LD capable of delivering up to 30mW output
20 power up to a temperature of 60°C. The next generation of semiconductor lasers will need an even greater maximum power output up to a higher (eg. 70°C) operating temperature.

By the (Al,Ga,In)P system is meant the family of compounds having the general formula $(\text{Al}_x\text{Ga}_{1-x})_{1-y}\text{In}_y\text{P}$, where both x and y are between 0 and 1. One particular advantage of this semiconductor system is that it is
5 lattice-matched to a GaAs substrate when the indium mole fraction, y , is equal to 0.48.

A principal limitation of current (Al,Ga,In)P laser diodes is that they are incapable of operating for long
10 periods (or with a sufficiently low threshold current) at the highest specified operating temperature. It is generally believed that this is caused by electron leakage from the active region of the device into the surrounding optical guiding region and subsequently into the p-type
15 cladding region.

One type of laser device is the separate confinement heterostructure laser. The generic structure of a separate confinement laser structure intended to generate
20 light at 630-680nm will now be described with reference to Figures 1 and 2.

Curve (a) of Figure 1 illustrates the difference between the Γ -conduction band energy of $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$

and $\text{Ga}_{0.52}\text{In}_{0.48}\text{P}$, as a function of the aluminium mole fraction in the quaternary alloy. Curves (b) and (c) of Figure 1 show the difference between the X-conduction band energy and the Γ -valance band energy respectively.

5 Figure 1 assumes that the bandgap difference between $(\text{Al},\text{Ga})\text{InP}$ and GaInP is split in a ratio of 70:30 between the conduction band offset and the valance band offset.

It will be seen that the minimum energy in the conduction band of $(\text{Al},\text{Ga},\text{In})\text{P}$ is a function of the aluminium content. There is a crossover from a Γ -band minimum to an X-band minimum at an aluminium concentration of about 0.55.

10

The terms Γ -band and X-band as used herein refer to symmetry points in the Brillouin zone and are standard terms in solid state physics, see for example R. A. Smith "Semiconductors", (Cambridge University Press, 1978). The terms Γ -minimum and X-minimum refer to the minimum energy level of the Γ -band and the X-band, respectively.

15

20

Figure 2 is a schematic band structure of a separate confinement laser structure fabricated in the $(\text{Al},\text{Ga},\text{In})\text{P}$ system. It consists of an n-doped $(\text{Al}_{0.7}\text{Ga}_{0.3})_{0.52}\text{In}_{0.48}\text{P}$

cladding region 1, an $(\text{Al}_{0.5}\text{Ga}_{0.5})_{0.52}\text{In}_{0.48}\text{P}$ optical guiding region 2, 4, a GaInP quantum well active region 3 disposed within the $(\text{Al}_{0.5}\text{Ga}_{0.5})_{0.52}\text{In}_{0.48}\text{P}$ optical guiding region, and a p-doped $(\text{Al}_{0.7}\text{Ga}_{0.3})_{0.52}\text{In}_{0.48}\text{P}$ cladding regions. Optical transitions giving rise to laser action in the quantum well active region 3 of the laser diode originate from Γ -electrons in the GaInP quantum well active region.

The electron leakage current consists of that fraction of the electrons which have sufficient thermal energy to surmount the potential barrier on the right hand side of Figure 2, and pass into the p-doped cladding region 5. It will be seen that Γ -electrons are confined in the optical guiding region (waveguide region) by a potential barrier of only around 90meV at the interface with the p-doped cladding region. This relatively small barrier height allows a significant proportion of electrons to escape. Moreover, holes in the valence band are confined only by a potential barrier of around 50meV, and this low barrier height also allows significant carrier escape. Furthermore the X-conduction band in the p-cladding region 5 is some 50meV below the Γ -conduction band in the waveguiding region 2, 4, and this allows electrons to escape from the waveguiding region 2, 4 through the

X-states in the p-doped cladding regions. Thus, the laser illustrated in Figure 2 has a high leakage current, and so has poor performance at high temperatures.

5 P. M. Smowton et al. in "Applied Physics Letters" Vol. 67, pp. 1265-1267 (1995) show that an important leakage mechanism for electrons can be via the indirect X-valley of the conduction bands in the p-side guiding and cladding regions of a separate confinement hetero-structure laser
10 having two $\text{Ga}_{0.41}\text{In}_{0.59}\text{P}$ quantum wells separated by a barrier, or set in an optical guiding region of $(\text{Al}_y\text{Ga}_{1-y})_{0.51}\text{In}_{0.49}\text{P}$ (where y is variously 0.3, 0.4 and 0.5), and clad with $(\text{Al}_{0.7}\text{Ga}_{0.3})_{0.51}\text{In}_{0.49}\text{P}$ cladding regions, doped with Zn on the p-side and Si on the n-side. However, no proposals are
15 made for mitigating the problems caused by loss of electrons via this mechanism.

There have been a number of proposals to improve the temperature performance of laser devices fabricated in
20 the (Al,Ga,In)P system.

T. Takagi et al, "IEEE Journal of Quantum Electronics" Vol 27, No. 6, 1511 (1991) have proposed

introducing a multiple-quantum well barrier in the cladding region.

5 In UK Patent Application No. 9526631.8, it is proposed that the insertion of a δ -doped p-type layer in the p-doped cladding region of a SCH laser diode will have the effect of increasing the band bending on the p-side of the hetero-junction and thus increase the potential barrier which is presented to thermal leakage of electrons.

10

G. Hatakoshi et al., "IEEE Journal of Quantum Electronics, Vol. 27, p1476 (1991) have proposed increasing the doping level of the p-doped cladding region, in order to increase the potential barrier between the waveguiding region and the p-doped cladding region. UK Patent Application No. 9626644.0 discloses a semiconductor laser which incorporates an electron reflecting layer, to prevent X-electrons escaping into the p-doped cladding region. UK Patent Application No. 9626657.2 discloses the use of electron capture layers to capture X-electrons, and transfer them to a Γ -confined energy level in the active region. However, the effectiveness of these schemes to improve the temperature

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characteristics of an (Al,Ga,In)P laser device is currently unclear.

The principle of operation of a multiple quantum well barrier (MQB) is to incorporate an MQB in the p-type cladding region of an SCH laser device. The MQB consists of very thin alternating layers of, for example, (In,Ga)P and (Al,Ga,In)P (for an (Al,Ga,In)P laser). An electron which has sufficient thermal energy to escape from the SCH structure will be quantum mechanically reflected at each of the interfaces of the MQB. If the layer thicknesses are chosen to be $\lambda/4$ in thickness, where λ is the electron wavelength, then a band of energies can be engineered at which electrons will be reflected with a probability of 1. Almost unity reflectivity of the electrons can be engineered to exist well above the classical barrier height. Theoretically, a MQB can increase the effective barrier height by up to a factor of 2 compared to the classical barrier height.

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K. Kishino et al. "Applied Physics Letters" Vol. 58, pp 1822-1824 (1991) and H. Hamada et al "Electronics Letters" Vol. 28, pp 1834-1836 (1992) provide evidence to show that the temperature dependence of the threshold

current of short wavelength lasers is improved through the use of such reflectors. However, the effectiveness of the reflectors is usually inferred from LD operating characteristics rather than from a direct measurement of the enhancement of the barrier height. It is difficult to quantify, therefore, just what advantage has accrued from the use of a MQB in comparison to any advantage that might have occurred simply due to better processing or better material quality. Furthermore, it should be noted that the effectiveness of the MQB is realised only if the coherence length of the electrons is long. Anything which destroys this coherence such as, for example, interface scattering, will significantly diminish the reflectivity properties.

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Increasing the doping level of the p-doped cladding layer will increase the potential barrier between the waveguiding region 4 and the p-doped cladding region 5. However, there are practical limitations to the amount of p-doping which can be incorporated into (Al,Ga,In)P or (Al,In)P cladding regions. This is particularly true of MOCVD grown materials, where a maximum impurity concentration of approximately $2 \times 10^{18} \text{cm}^{-3}$ can be achieved

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using either Zn or Mg. An example of this is given by D. P. Bour et al. in

"IEEE Journal of Quantum Electronics" Vol. 30, pp. 593-606 (1994). However, any further increase in the
5 dopant concentration using this technique causes the dopant to diffuse into the active region of the device, thereby degrading its performance.

It is possible to increase the aluminium content of
10 the cladding layer 5 in order to increase the potential barrier between the waveguiding region 4 and the p-doped cladding region 5, and thereby increase the Γ -electron and valance band hole confinement. This approach is illustrated in Figure 3. This illustrates an SCH laser
15 structure that is similar to that shown in Figure 2, but in which the cladding regions 1,5 are formed of AlInP. The potential barrier between the optical guiding region 4 and the p-doped cladding region 5 is now 250meV, and the potential barrier confining the valence band holes
20 is now 100meV. Thus, the laser structure shown in Figure 3 will have improved carrier confinement compared to the structure shown in Figure 2.

Increasing the aluminium content of the cladding layers 1,5 will not, however, prevent carrier escape via the X-band states in the p-doped cladding region 5.

5 DISCLOSURE OF THE INVENTION

A first aspect of the present invention provides an optical semiconductor device including: an active region; and a p-doped cladding region disposed on one side of the active region; wherein an electron-reflecting barrier is
10 provided at the p-side of the active region for reflecting Γ -electrons and X-electrons, the electron-reflecting barrier providing a greater potential barrier to Γ electrons than the p-doped cladding region.

15 S. J. Chang et al., "IEEE Photonics Technology Letters" Vol. 10, No. 5, p651 (1998) describes an (Al,Ga,In)P laser diode having an emission wavelength of 642nm. The laser diode is provided with a triple tensile strain barrier cladding layer to introduce a barrier to
20 Γ -electrons. Improved temperature dependence is observed. However, the tensile reflective layers do not provide any barrier to X-electrons. On the contrary, they introduce quantum wells for trapping X-electrons. Thus,

significant carrier loss via the X-band states in the p-doped cladding region still occur with this structure.

US Patent No. 5 509 024 discloses a laser diode having
5 a tunnel barrier layer. An AlAs barrier layer is introduced between the optical guiding region and the p-doped cladding region to act as a barrier to Γ -electrons.

US Patent No. 5 509 024 does not address the problem
10 of carrier loss via the X-states in the p-doped cladding region. The patent proposes locating the AlAs barrier layer between a $(\text{Al}_{0.5}\text{Ga}_{0.5})_{0.52}\text{In}_{0.48}\text{P}$ optical guiding region and a $(\text{Al}_{0.7}\text{Ga}_{0.3})_{0.52}\text{In}_{0.48}\text{P}$ cladding region. At the date of this patent, neither the band offsets nor the Γ -X
15 direct-indirect bandgap changeover in the (Al,Ga,In)P system were well established. In the light of recent experimental evidence concerning the Γ -X direct-indirect bandgap changeover, it can be seen that the structure proposed in US Patent No. 5 509 024 will introduce a 0.32eV
20 trapping quantum well for X-electrons. Thus, while the scheme proposed in this patent will introduce a potential barrier of around 0.58eV for Γ -electrons, it will not address the problem of carrier loss via the X-states in the p-doped cladding region. Indeed, the introduction of

the 0.32eV quantum well for X-electrons will aggravate this problem.

In contrast to the above prior art, however, the present invention provides a barrier that will prevent leakage of both Γ -electrons and X-electrons. The problem of carrier loss via the X-states in the p-doped cladding region is prevented, or at least significantly reduced, since the electron-reflecting barrier reflects X-electrons as well as Γ -electrons.

The electron-reflecting barrier may include a first electron-reflecting layer for reflecting Γ -electrons and a second electron-reflecting layer for reflecting X-electrons. This is a convenient way of providing a barrier for both Γ -electrons and X-electrons.

At least one of the electron-reflecting layers may be a strained layer. In some cases, a strained semiconductor layer has a forbidden bandgap that is greater than the forbidden bandgap of the bulk semiconductor material, and using such a strained layer as an electron-reflecting layer will increase the potential barrier to electron and hole leakage.

One of the electron-reflecting layers may be in a state of compressive strain and the other of the electron-reflecting layers may be in a state of tensile strain.

5 The two electron-reflecting layers will thus form a strain-compensated barrier. It has been reported that a strain-compensated barrier can be made thicker than the sum of the critical thicknesses of the individual layers without introducing defects into the layers. This means

10 that a strain compensated electron-reflecting barrier can be made thicker without introducing defects, and a thicker barrier will reflect more electrons back into the active region thereby improving the confinement of the electrons.

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The device may be a light-emitting diode, or it may be a laser device. The laser device may be a separate confinement heterostructure laser device including an optical guiding region, the active region being disposed

20 within the optical guiding region. The layer for reflecting Γ -electrons may be disposed between the optical guiding region and the layer for reflecting X-electrons. The Γ -conduction band of the optical guiding region may be substantially degenerate with the X-conduction band of the

layer for reflecting Γ -electrons. This ensures that the layer for reflecting Γ -electrons does not produce a quantum well for X-electrons.

5 Alternatively, the layer for reflecting Γ -electrons may be disposed between the layer for reflecting X-electrons and the p-doped cladding region. In this arrangement, the formation of a quantum well for X-electrons in the layer for reflecting Γ -electrons does
10 not cause a serious problem, since few X-electrons reach the layer for reflecting Γ -electrons. This arrangement therefore allows a wider choice of materials for the optical guiding region.

15 The electron-reflecting barrier may include a plurality of first electron-reflecting layers for reflecting Γ -electrons and a plurality of second electron-reflecting layers for reflecting X-electrons. The electron reflecting barrier may be a superlattice
20 structure. This is possible because the electron barrier is strain compensated.

The device may be fabricated in the (Al,Ga,In)P system, the or each layer for reflecting Γ -electrons may be AlP

or GaP, and the or each layer for reflecting X-electrons may be InP. This provides a convenient way of reducing the leakage current in an (Al,Ga,In)P laser.

5 The layer for reflecting Γ -electrons may be AlP and the optical guiding region may be $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$. This makes the Γ -conduction band of the optical guiding region substantially degenerate with the X-conduction band of the layer for reflecting Γ -electrons, as is preferable when the
10 layer for reflecting Γ -electrons is disposed between the optical guiding region and the layer for reflecting X-electrons.

 The thickness of each of the electron-reflecting
15 layers may be 16Å or less. This thickness is lower than the critical thickness at which the formation of misfit dislocations in a strained layer becomes energetically favourable.

20 At least one of the electron-reflecting layers may be p-doped. If the electron-reflecting layers are heavily p-doped band bending will occur, and this will increase the height of the potential barrier to electron transport into the p-cladding region. The p-doping will

also reduce the barrier height for hole transport into the optical guiding region.

5 The first electron-reflecting layer, or at least one of the first electron-reflecting layers (if there are more than one), may contain indium. Introducing indium into an AlP or GaP strained layer will reduce the strain in the layer, and will hence increase the critical thickness of the layer. The layer(s) for reflecting Γ -electrons can
10 therefore be made thicker, and this will reduce the probability that electrons can tunnel through the layer.

 The electron-reflecting barrier may be disposed between the optical guiding region and the p-doped
15 cladding region.

 A second aspect of the present invention provides an optical semiconductor device including: an optical guiding region; an active region having at least one
20 energy well, said active region being disposed in said optical guiding region; and n-doped and p-doped cladding regions disposed on opposite sides of the optical guiding region; wherein an electron-reflecting layer for reflecting Γ -electrons is disposed at the p-side of the

active region; and wherein the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the electron-reflecting layer.

5 This aspect of the present invention addresses the problem outlined above with reference to the lasers described in S. J. Chang et al. and in US Patent No. 5 509 024. In this aspect, the X-conduction band of the electron reflecting layer is chosen to be substantially
10 degenerate with the Γ -conduction band of the optical guiding region. This prevents the formation of a quantum well for X-electrons in the layer for reflecting Γ -electrons. This can be done, for example, by selecting the composition of the optical waveguiding region
15 appropriately.

WO 97/40560 discloses an (Al,Ga,In)P light emitting diode. An AlP barrier layer is disposed between the active region and the p-type cladding region of the LED.
20 However, while this barrier layer will increase confinement for Γ -electrons, a quantum well will be produced in the X-conduction band. The depth of this quantum well will be approximately 0.4eV and, as explained above, introduction of this quantum well will aggravate

the problem of the loss of electrons via the X-states in the p-doped cladding region of the LED.

5 The optical guiding region may be formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$, and the electron-reflecting layer may be formed of AlP. This is a convenient way of putting the second aspect of this invention into practice in the (Al,Ga,In)P system.

10 The electron-reflecting layer may be p-doped.

The electron-reflecting layer may be disposed between the optical guiding region and the p-doped cladding region.

15

The device may be a separate confinement heterostructure laser device.

BRIEF DESCRIPTION OF THE DRAWINGS

20 Preferred embodiments of the present invention will now be described in detail by way of illustrative examples with reference to the accompanying Figures in which:

Figure 1 shows the variation in the (Ga,In)P/(Al,Ga,In)P heterobarrier height as a function of the aluminium mole fraction of the quaternary alloy;

5 Figure 2 is a schematic band structure diagram of a separate confinement heterostructure semiconductor laser fabricated in the (Al,Ga,In)P system;

10 Figure 3 is a schematic band structure diagram of a SCH laser similar to that shown in Figure 2, but in which the cladding layers are formed of (Al,In)P;

15 Figure 4 is a partial, schematic band diagram of an SCH laser according to a first embodiment of the present invention;

20 Figure 5 is a schematic diagram of the band structure of the optical guiding region and p-type cladding region of an SCH laser device containing an electron reflecting barrier layer according to a further embodiment of the present invention;

Figure 6 illustrates the band structure of a modification of the embodiment of Figure 5;

Figure 7 illustrates the schematic band structure diagram of a further modification of Figure 5;

5 Figure 8 shows the schematic band structure of a modification of Figure 6; and

Figure 9 is a partial view of the conduction band of Figure 5;

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Figure 10 shows the partial schematic band structure of another embodiment of the invention; and

Figure 11 shows the partial schematic band structure
15 of another embodiment of the invention.

BEST MODE FOR CARRYING OUT THE INVENTION

Figure 4 is a partial, schematic illustration of the band structure of a first embodiment of the invention.
20 This shows the band structure of the optical guiding region 10 (or waveguide region) and the p-doped cladding region 11 of an SCH laser device. The optical guiding region 10 is disposed between the p-type cladding region 11 and an n-type cladding region (not shown in Figure 4).

An active region (not shown) having at least one energy well for providing laser oscillation is disposed within the optical guiding region 10.

5 The embodiment of Figure 4 is fabricated in the (Al,Ga,In)P system. The optical guiding region 10 is formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$. The cladding region is formed of $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$, where $0.5 < x \leq 1.0$. In the embodiment of Figure 4, x is chosen to be 1 so that the
10 cladding layer 11 is formed of $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$, and the band energies shown in Figure 4 are for an $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$ p-type cladding region. An electron-reflecting layer 12, formed of AlP, is disposed between the optical guiding region 10 and the p-doped cladding region 11.

15

 The lattice constant for AlP is 5.467Å, whereas the lattice constant of the optical guiding region 10 will be 5.653Å. (As noted above, (Al,Ga,In)P having an indium mole fraction of 0.48 is lattice matched to GaAs, so that the
20 lattice constant of the optical guiding region will be equal to the lattice constant of GaAs.) The lattice constant of the cladding region 11 will also be 5.653Å since the cladding region has an indium mole fraction of 0.48. Thus, the

lattice mismatch between the electron-reflecting layer 12 and the optical guiding region 10 is approximately 3.4%.

5 In general, dislocations would occur at an interface between two semiconductor materials having a lattice mismatch of 3.4%. This is undesirable in the present case, since these dislocations and defects would degrade the properties of the laser device.

10 It is well known that if the lattice mismatch between an underlying layer and a growing epilayer is sufficiently small, the first atomic layers which are deposited will be strained to match the lattice constant of the underlying layer so that a coherent interface will be
15 formed. However, as the thickness of the growing epilayer increases, the homogeneous strain energy increases until a critical thickness is reached at which it becomes energetically favourable for misfit dislocations to be introduced. The existence of this critical thickness was
20 first disclosed in J. H. Van der Merwe "Journal of Applied Physics" Vol. 34, page 123 (1962). It is preferable that the thickness of the electron reflecting layer 12 is lower than the critical thickness, to prevent dislocations occurring. In this case, the electron-reflecting layer

will be in a strained state. In this embodiment it will be in a state of tensile strain, since AlP has a lower lattice constant than the waveguiding region 10.

5 For a lattice mismatch of 3.4%, the critical thickness at which misfit dislocations will occur is estimated to be 16Å, see R. People et al., "Applied Physics Letters" Vol. 47 No. 3 pp.322-324 (1985). In the embodiment of Figure 4, therefore, the thickness of the electron re-
10 flecting layer 12 is preferably 16Å or less.

 In bulk AlP, the Γ - Γ bandgap is 3.6eV, and the Γ -X bandgap is 2.5eV. In the embodiment of Figure 4, however the AlP layer 12 is under tensile strain and this will
15 reduce the bandgap from the bulk value of 3.6eV. The bandgap will be reduced to 3.295eV for the light hole and 3.5eV for the heavy hole valence band. The reduction in bandgap of a strained layer is described in, for example, Chin-Yu Yeh et al, "Physical Review B" Vol. 50, No. 4,
20 pp2715-2718 (1994). Assuming a 70:30 band offset, the AlP electron reflecting layer therefore introduces a 0.801eV barrier to the transport of Γ -electrons into the p-doped cladding region 11 (this calculation uses the light-hole bandgap). The X-band in the optical guiding region 10 is

0.15eV above the Γ -band, so that most electrons in the optical guiding region will be in the Γ -band. These Γ -electrons will be reflected back into the active region by the electron reflecting layer 12. A simple calculation of the transmission of an electron through a rectangular barrier indicates that only approximately 6% of Γ -electrons would be transmitted through the bottom of a 0.801eV potential barrier having a thickness of 16Å (this calculation assumes that the effective mass of the Γ -electrons is $m_0 = 0.15$). In practice, the electron transmission through the electron-reflecting layer in Figure 4 is probably less than 6%, owing to the presence of the thick p-doped cladding region 11 adjacent to the electron reflecting layer. The p-doped cladding region 11 is formed of $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$, where $0.5 < x \leq 1.0$, and will have a Γ - Γ bandgap of up to 2.7eV. The transmission through the AlP layer increases to approximately 13% at an energy degenerate with the Γ -band in the cladding region.

20

The light hole is reduced in energy compared to the heavy hole valence band in the AlP layer 12, because the layer is under tensile strain. The conduction band-light hole bandgap is 3.294eV, and this will give a potential

barrier for light holes of 0.178eV. There is an approximately 26% probability of holes tunnelling through this barrier into the optical guiding region 10.

5 The conduction band - heavy hole bandgap in the AlP layer 12 is 3.497eV, producing a 0.239eV barrier to heavy holes (the heavy hole barrier is not shown in Figure 4).

10 (It should be noted that the value of the Γ - Γ bandgap in AlP may be larger (4.4eV) than the value given above, as suggested by Chin-Yu Yeh et al, and that the band offset for compressively strained layers may be 85:15 rather than 70:30, as suggested by M.D.Dawson et al, "Applied Physics Letters", Vol 64 (7) p892 (1994). Both these effects
15 would tend to increase the potential barrier set up in Figure 4.)

20 In the embodiment shown in Figure 4, the aluminium concentration in the optical waveguiding region has been selected so that the Γ -band in the optical guiding region 10 is degenerate with the X-band in the AlP layer 12. This prevents a quantum well for X-electrons forming in the AlP layer, and thus overcomes the problems identified

above with the devices proposed by Chang et al and US Patent No. 5 509 024.

Furthermore, for an AlInP cladding region, the X-band of the p-doped cladding region 11 in Figure 4 is 0.06eV higher than the X-band in the AlP layer 12 (if the cladding region has a lower aluminium mole fraction, the X-band potential will be lower). The few X-electrons in the optical guiding region 10 will thus face a 0.06eV potential barrier to transport into the p-doped cladding region, and this will tend to confine them within the waveguiding region. It can thus be seen that the structure illustrated in Figure 4 provides a barrier for both Γ -electrons and X-electrons. The AlP layer 12 provides a greater potential barrier to Γ -electrons than does the p-type cladding layer 11.

In contrast, in the conventional structure illustrated in Figure 3, the X-conduction band in the p-doped cladding region is lower than the X-band in the optical guiding region. In the conventional structure, therefore, there is no potential barrier preventing X-electrons passing from the optical guiding region into the cladding region.

A further embodiment of the invention is illustrated in Figure 5. This figure again shows the bandgap structure of an SCH laser device fabricated in the (Al,Ga,In)P system lattice matched to GaAs. The p-type cladding region 11 is formed of $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$ where $0.5 < x \leq 1.0$, and preferably $0.7 < x \leq 1.0$. In the embodiment shown in Figure 5 x is chosen to be 1.0, and the band energies shown in Figures 5 and 9 relate to an $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$ cladding layer. The active region 16 and the n-type cladding region 17 of the laser device are shown schematically in Figure 5. The precise nature and composition of the active region 16 and the n-type cladding region 17 are not relevant to the invention, and will not be described further.

15

In this embodiment, a strain compensated barrier layer 14 is placed at the interface between the waveguiding region and the p-doped cladding region. The strain compensated barrier layer consists of an AlP layer 12 and an InP layer 13. The barrier layer 14 provides a potential barrier for both Γ -electrons and X-electrons.

20

The AlP layer 12 and the InP layer 13 are both selected to have a thickness less than the critical thickness, to

prevent misfit dislocations occurring. The AlP layer 12 and the InP layer 13 are therefore both under strain. As noted above, in connection with Figure 4, the AlP layer 12 is in a state of tensile strain, since its lattice constant is approximately 3.4% lower than the lattice constant of the optical guiding region 10 (which is lattice matched to GaAs and so has a lattice constant of 5.653Å). The InP layer, however, is in a state of compressive strain, since its lattice constant is approximately 3.8% greater than the lattice constant of the optical guiding region 10.

For the case of a layer in a state of compressive strain, the Γ -bandgap increases whereas the X-bandgap decreases. The valence band degeneracy is split, with the heavy hole band being at a lower energy than the light hole band.

As noted above with reference to Figure 4, the AlP layer 12 provides a potential barrier of 0.801eV to Γ -electrons in the optical guiding region 10.

The thickness of the InP layer is chosen such that the first confined state in the InP layer lies above the X-band in the p-doped cladding region 11, and also lies

above the X-band in the AlP layer 12. The InP layer thus acts as an additional electron reflecting layer to electrons that manage to pass through the AlP layer. It provides a 0.275eV potential barrier to X-electrons in the optical guiding region 10. The InP layer 13 thus prevents the loss of electrons from the optical guiding region 10 via X-states in the cladding region 11.

It is preferred that the aluminium content of the optical guiding region 10 is selected such that the Γ -band in the optical guiding region 10 is degenerate with the X-band in the AlP layer 12, in order to prevent a quantum well for X-electrons being set up in the AlP layer 12. To achieve this, the optical guiding region is preferably formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$.

Figure 9 illustrates the conduction band of the optical guiding region and the p-type cladding region of the structure of Figure 5.

Γ -electrons having an energy E_0 - that is, which are at the conduction band energy of the optical guiding region 10 will encounter an 0.801eV potential barrier which has a thickness of 16Å. As noted above with

reference to Figure 4, only around 6% of Γ electrons will be transmitted through the bottom of such a barrier (assuming an effective mass $m_0 = 0.15$). (In reality, there will be almost no Γ -electron loss at the conduction band energy of the optical guiding region, due to the presence of the thick p-doped cladding region 11.)

X-electrons having an energy E_0 face a 0.275eV potential barrier provided by the InP layer 14. Approximately 6% of X-electrons having an energy E_0 will be transmitted through this barrier, assuming an effective mass $m_0 = 0.45$.

The energy E_1 shown in Figure 9 is equal to the Γ -band of the p-type cladding region 11. In a conventional SCH laser structure, such as that shown in Figure 2 for example, electrons in the optical guiding region having energy E_1 would not be confined. The probability that these electrons would be transmitted from the optical guiding region 10 into the p-type cladding layer 11 would be unity, and these electrons would be lost. In the present invention, however, Γ -electrons having an energy of E_1 face a potential barrier of 0.526eV, as a result of the AlP layer 12. Approximately 87% of Γ -electrons at

energy E_1 will be reflected back into the waveguide region 10 by the AlP layer 12, and only 13% of the electrons will escape from the optical guiding region 10 into the p-type cladding region 11. This improved confinement will
5 improve the efficiency and high temperature operation of the laser diode.

A further advantage of the invention is that the barrier layer 14 is strain compensated, since it is formed
10 of one layer that is under tensile strain and one layer that is under compressive strain. Since the barrier layer 14 is strain compensated, it is possible to provide two or more barrier layers so as to further improve the confinement of electrons in the optical guiding region
15 10. It would also be possible to provide an AlP/InP superlattice barrier layer. Figure 10 shows a modification of the embodiment of Figure 5, in which the barrier layer 14 is formed of two AlP layers 12 and two InP layers 13, and Figure 11 shows another modification
20 of Figure 5 in which the barrier layer 14 is a superlattice of four AlP layers 12 and four InP layers 13.

There is a further possible advantage of using a strain-compensated barrier layer 14. As noted above, a

strained layer has a critical thickness above which misfit dislocations will occur. It has been reported, however, that a strain compensated barrier layer can be made thicker than the sum of the critical thicknesses of each individual layer without introducing defects into the layers. That is, it may be possible to grow a strain compensated AlP/InP barrier layer that is thicker than the combination of the critical thickness of AlP and the critical thickness of InP, without causing dislocations to form. If a thicker electron-reflecting barrier can be grown without introducing defects, it will reflect more electrons back into the optical guiding region, and thus improve confinement of the electrons.

A further embodiment of the invention is illustrated in Figure 6. This is generally similar to the embodiment of Figure 5, except that the InP layer 14 is located between the optical guiding region 10 and the AlP layer 12. As in the embodiment of Figures 4 and 5, the band energies shown in Figure 6 are for an $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$ p-type cladding layer, although in principle the p-type cladding layer could be $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$ with $0.5 < x \leq 1.0$. The active region and n-type cladding region are not shown in Figure 6.

In this embodiment, the InP layer 13 is made sufficiently thin so that the first confined state is located at the top of the potential well formed in the Γ -band.

In this embodiment, X-electrons in the optical waveguiding region 10 face a 0.275eV potential barrier presented by the InP layer. As noted above in connection with Figure 9, only around 6% of X-electrons will be transmitted through such a barrier. In consequence, the possible formation of a quantum well for X-electrons in the AlP layer 12 is less important. There is thus no need to select the composition of the optical guiding region 10 such that its Γ -band is degenerate with the X-band in the AlP layer 12. This provides a greater freedom in designing the structure of the LD. In particular, the aluminium content of the optical guiding region 10 can be increased, up to an x value of approximately 0.5.

20

In the embodiment illustrated in Figure 6, the optical guiding region 10 is formed of $(\text{Al}_{0.4}\text{Ga}_{0.6})_{0.52}\text{In}_{0.48}\text{P}$. In consequence, the potential barrier for Γ -electrons is 0.75eV.

A further advantage of the embodiment of Figure 6 is that there is no quantum well on the p-doped cladding region side of the barrier layer 14 that is likely to trap holes. Holes that are injected over the potential barrier presented by the AlP layer 12 are likely to "overshoot" the quantum well formed by the InP layer 13 and enter the optical guiding region 10.

10 A further embodiment of the invention is shown in Figure 7. This is generally similar to the embodiment of Figure 5, except that the AlP layer 12 of Figure 5 is replaced by a GaP layer 15. The barrier layer 14 in this embodiment is formed of the GaP layer 15 and an InP layer 13. The band
15 energies shown in Figure 7 are for an $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$ p-type cladding region 11. In principle, however, the p-type cladding region can be formed of $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$ layer where $0.5 < x \leq 1.0$. The active region and the n-type cladding region are not shown in Figure 7.

20

Bulk GaP has a Γ - Γ bandgap of 2.9eV and a Γ -X bandgap of 2.3eV. GaP has a lattice constant of 5.451eV, giving a lattice mismatch of around 3.7% compared to GaAs. Thus, the GaP layer 15 in Figure 8 will be in a state of tensile

strain. This tensile strain will reduce the Γ - Γ bandgap of the GaP layer 15 below the value for bulk GaP, but will increase the Γ -X bandgap.

5 As with the previous embodiments, the thickness of the GaP layer 15 must be lower than the critical thickness at which misfit dislocations occur. This critical thickness will again be around 16Å.

10 An advantage of the use of GaP in place of AlP is that holes in the valence band face a lower potential barrier. Figure 7 shows that the potential barrier for holes is 0.124eV, compared to 0.178eV in Figure 5 and 6. The hole transmission probability for a 0.124eV barrier having a
15 thickness of 16Å is around 33%.

One possible disadvantage of the structure of Figure 7 is that the potential barrier for Γ -electrons in the optical guiding region 10 is lower than in Figure 5. As
20 shown in Figure 7, if a GaP layer is used, the potential barrier for a Γ -electron is 0.465eV, as compared to 0.801eV for an AlP layer. A 0.465eV potential barrier having a thickness of 16Å gives a transmission probability of around 11%, assuming an effective mass $m_0 = 0.15$. As noted

above, however, the probability of a Γ -electron being transmitted into the p-doped cladding region is probably significantly less than this calculated value, since there is a thick (AlGa)InP layer, with a Γ - Γ bandgap of up to 2.7eV adjacent to the barrier layer 14.

An electron in the waveguide region 10 whose energy is degenerate with the Γ -band of the cladding region 11 has a probability of approximately 18% of passing through the barrier layer 14 into the cladding region 11.

In this embodiment, it is preferable to select the aluminium composition of the optical guiding region 10 such that its Γ -band is degenerate with the X-band in the GaP layer, to prevent formation of a quantum well for trapping X-electrons. In the embodiment of Figure 7, this is done by choosing the aluminium mole fraction, x , of the optical guiding region 10 to be $x = 0.4$.

A further embodiment of the invention is illustrated in Figure 8. This is similar to that of Figure 7, but the InP layer 13 is placed between the GaP layer 15 and the optical guiding region 10. Thus, this embodiment corresponds to the embodiment of Figure 6, but with the

AlP layer 12 of Figure 6 replaced by a GaP layer 15. The band energies shown in Figure 7 are for an $\text{Al}_{0.52}\text{In}_{0.48}\text{P}$ p-type cladding region 11. In principle, however, the p-type cladding region can be formed of an $(\text{Al}_x\text{Ga}_{1-x})_{0.52}\text{In}_{0.48}\text{P}$ layer where $0.5 < x \leq 1.0$. The active region and the n-type cladding region are not shown in Figure 8.

For the reasons described above with reference to Figure 6, it is not necessary for the Γ -band in the optical guiding region 10 to be degenerate with the X-band in the GaP layer 15. This means that the aluminium concentration of the optical guiding region can be chosen more freely than the aluminium concentration of the optical guiding region 10 in the embodiment of Figure 7. In the embodiment of Figure 8, the optical guiding region is formed of $(\text{Al}_{0.4}\text{Ga}_{0.6})_{0.52}\text{In}_{0.48}\text{P}$. For an optical guiding region 10 having this composition, most of the electrons will be located in the Γ -band, and will face a 0.465eV potential barrier against transmission into the p-doped cladding region 11. X-electrons in the optical guiding region 10 will face a 0.29eV potential barrier, owing to the presence of the InP layer. The potential barrier in the valence band to hole injection is 0.124eV. As in the

embodiment of Figure 6, holes that overcome the potential barrier formed by the GaP layer 15 are likely to be swept into the waveguide region 10, and will thus "overshoot" the quantum well in the valence band formed by the InP layer 13.

In the embodiments described above, the electron reflecting layers 12, 13 and 15 are undoped. It is, however, possible for these layers to be heavily p-doped. Doping the electron reflecting layers will cause band bending, and this band bending will increase the height of the potential barrier to electron transport from the optical guiding region 10 to the p-type cladding region 11. Doping the barrier layers p-type will also reduce the barrier height for hole transport into the optical guiding region.

The present invention has been described with reference to the (Al,Ga,In)P alloy system. The present invention, however, is not limited to this particular alloy system. A person skilled in the art of semiconductor physics and electronic materials will readily appreciate that the present invention is applicable to any hetrostructure laser device whose

constituents have a conduction band dependence similar to that shown in Figure 1.

In the embodiments described above, the electron-reflecting layers 12, 13, 15 have been placed at the interface between the optical guiding region 10 and the p-doped cladding region 11. It is not, however, essential for the electron-reflecting layers to be placed exactly at the interface between the optical guiding region and the p-doped cladding region. In principle, the electron-reflecting layer(s) could be disposed within the optical guiding region 10, in the p-side of the optical guiding region. Moreover, the electron-reflecting barrier layer(s) could in principle, be placed within the p-doped cladding region, near the interface with the optical guiding region. This is, however, less preferable, since once electrons have passed into the p-doped cladding region electron loss can occur via the X-states in the cladding region even if there is a potential barrier in the Γ -band within the cladding region.

Although the invention has been described above with reference to SCH laser devices, the invention is not limited to an SCH laser device, but can be applied to other

optical semiconductor devices. For example, it could be applied to other laser devices, such as a vertical cavity laser device, or to a light-emitting diode such as a resonant cavity LED.

5

INDUSTRIAL APPLICABILITY

According to the present invention as set forth above, the present invention provides a barrier that will prevent leakage of both Γ -electrons and X-electrons. The problem of carrier loss via the X-states in the p-doped cladding region is prevented, or at least significantly reduced, since the electron-reflecting barrier reflects X-electrons as well as Γ -electrons.

According to the present invention as set forth above, the X-conduction band of the electron reflecting layer is chosen to be substantially degenerate with the Γ -conduction band of the optical guiding region. This prevents the formation of a quantum well for X-electrons in the layer for reflecting Γ -electrons. This can be done, for example, by selecting the composition of the optical waveguiding region appropriately.

CLAIMS

1. An optical semiconductor device comprising:
an active region; and
5 a p-doped cladding region disposed on one side of the active region;
wherein an electron-reflecting barrier is provided on the p-side of the active region for reflecting both Γ -electrons and X-electrons, the electron-reflecting
10 barrier providing a greater potential barrier to Γ -electrons than the p-doped cladding region.
2. A device according to claim 1, wherein the electron-reflecting barrier comprises a first electron-reflecting layer for reflecting Γ -electrons and a second
15 electron-reflecting layer for reflecting X-electrons.
3. A device according to claim 1, wherein at least one of the electron-reflecting layers is a strained layer.
20
4. A device according to claim 3, wherein one of the electron-reflecting layers is in a state of compressive strain and the other of the electron-reflecting layers is in a state of tensile strain.

5. A device according to claim 1, wherein the device is a light-emitting diode.
- 5 6. A device according to claim 1, wherein the device is a laser device.
7. A device according to claim 6, wherein the device is a separate confinement heterostructure laser device comprising an optical guiding region, the active region being
10 disposed within the optical guiding region.
8. A device according to claim 2, wherein the layer for reflecting Γ -electrons is disposed between the optical
15 guiding region and the layer for reflecting X-electrons.
9. A device according to claim 8, wherein the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the layer for
20 reflecting Γ -electrons.
10. A device according to claim 2, wherein the layer for reflecting Γ -electrons is disposed between the layer for reflecting X-electrons and the p-doped cladding region.

11. A device according to claim 1, wherein the electron-reflecting barrier comprises a plurality of first electron-reflecting layers for reflecting Γ -electrons
5 and a plurality of second electron-reflecting layers for reflecting X-electrons.

12. A device according to claim 11, wherein the electron-reflecting barrier is a superlattice structure.

10

13. A device according to claim 2, wherein the device is fabricated in the (Al,Ga,In)P system, the layer for reflecting Γ -electrons is made from a material selected from the group consisting of AlP and GaP, and the layer
15 for reflecting X-electrons is made from InP.

14. A device according to claim 11, wherein the device is fabricated in the (Al,Ga,In)P system, each layer for reflecting Γ -electrons is made of a material selected from
20 the group consisting of AlP and GaP, and each layer for reflecting X-electrons is made from InP.

15. A device according to claim 9, wherein the layer for reflecting Γ -electrons is AlP and the optical guiding region is $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$.

5 16. A device according to claim 13, wherein the thickness of each of the electron-reflecting layers is 16Å or less.

17. A device according to claim 1, wherein at least one of the electron-reflecting layers is p-doped.

10

18. A device according to claim 13, wherein the first electron-reflecting layer contains indium.

15 19. A device according to claim 7, wherein the electron-reflecting barrier is disposed between the optical guiding region and the p-doped cladding region.

20. An optical semiconductor device comprising:

an optical guiding region;

20 an active region having at least one energy well, said active region being disposed in said optical guiding region; and

n-doped and p-doped cladding regions disposed on opposite sides of the optical guiding region;

wherein an electron-reflecting layer for reflecting Γ -electrons is provided at the p-side of the active region;
and

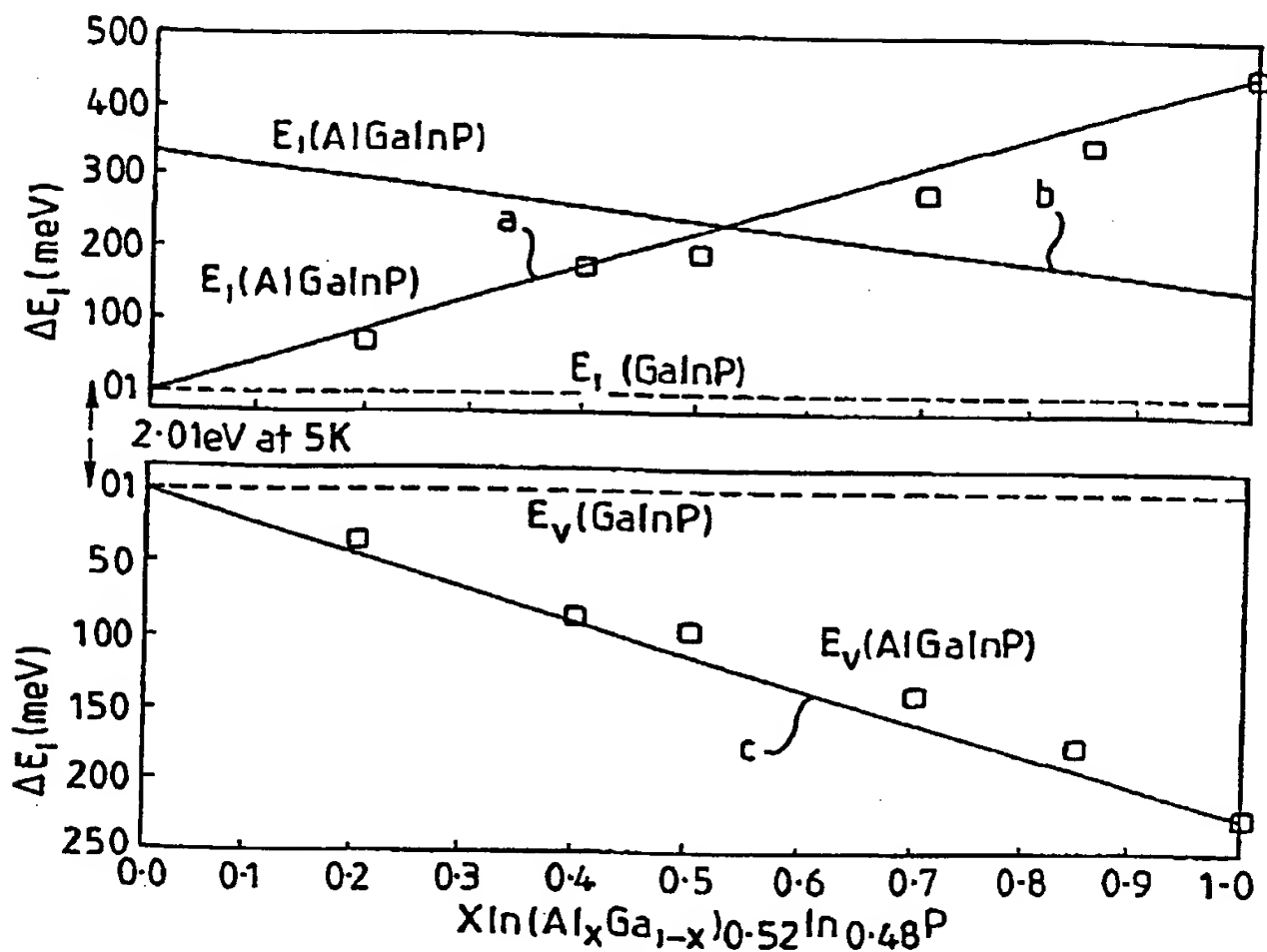
5 wherein the Γ -conduction band of the optical guiding region is substantially degenerate with the X-conduction band of the electron-reflecting layer.

21. A device according to claim 20, wherein the optical guiding region is formed of $(\text{Al}_{0.3}\text{Ga}_{0.7})_{0.52}\text{In}_{0.48}\text{P}$, and the
10 electron-reflecting layer is formed of AlP.

22. A device according to claim 20, wherein the electron-reflecting layer is p-doped.

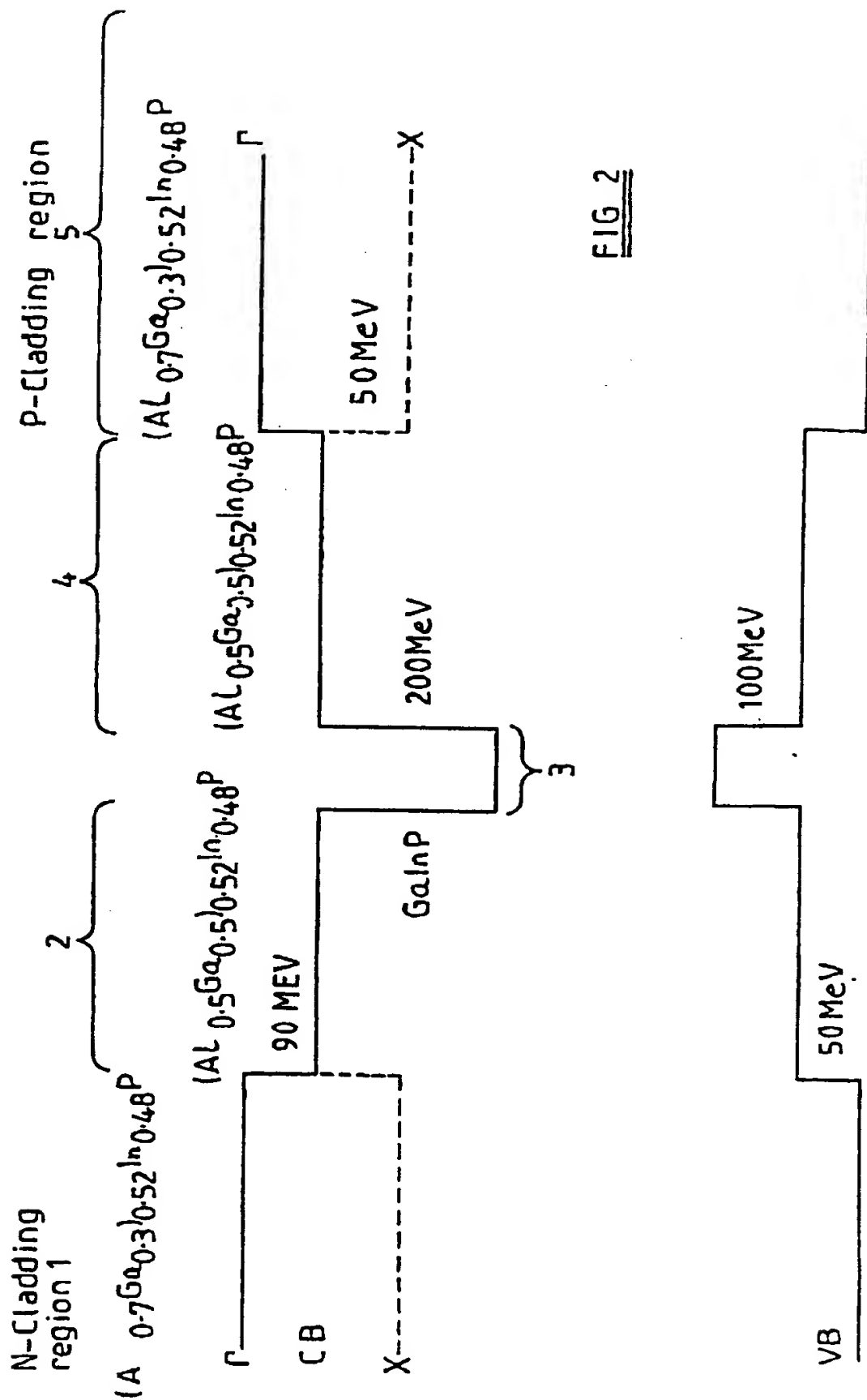
15 23. A device according to claim 20, wherein the electron-reflecting layer is disposed between the optical guiding region and the p-doped cladding region.

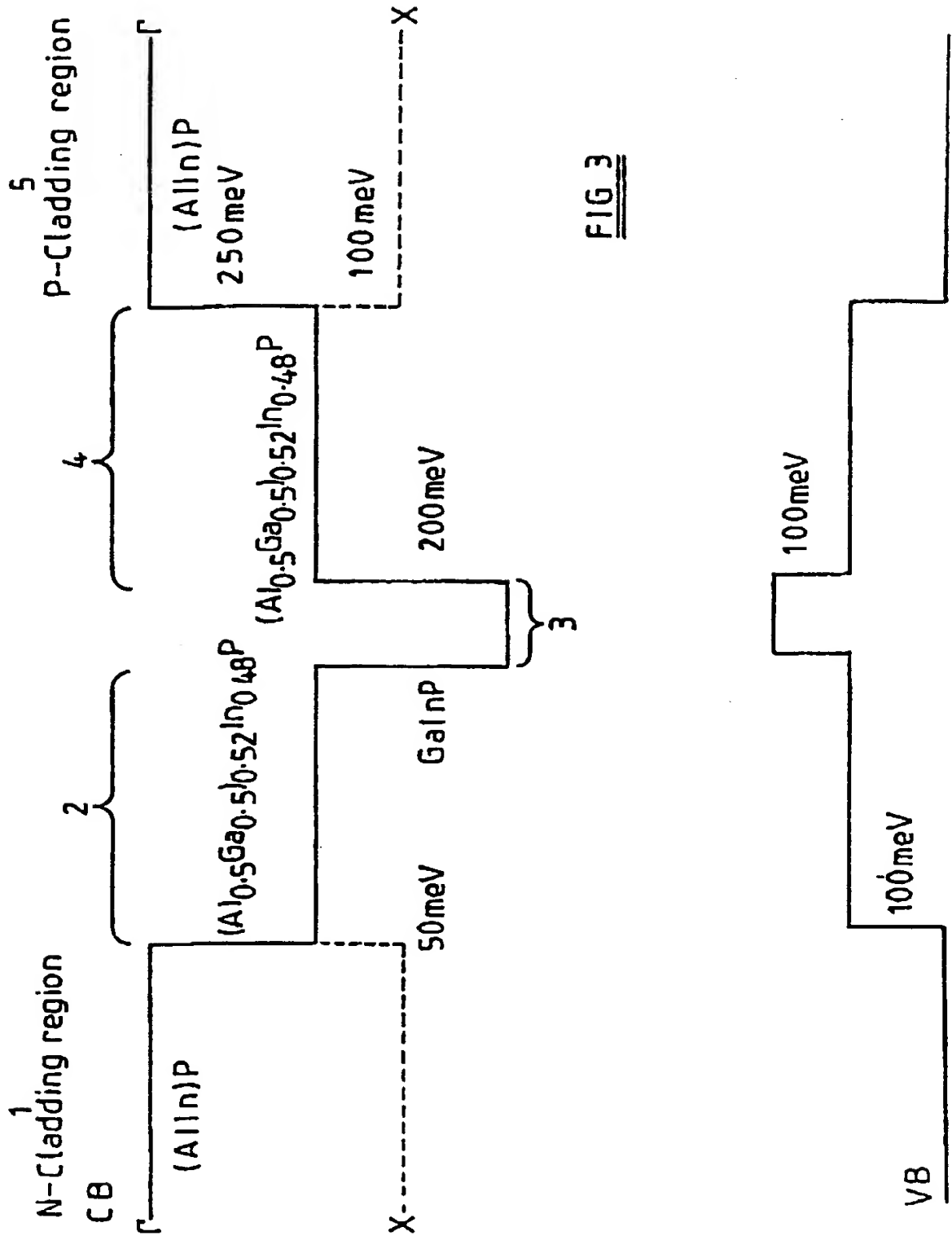
24. A device according to claim 20, wherein the device is
20 a separate confinement heterostructure laser device.

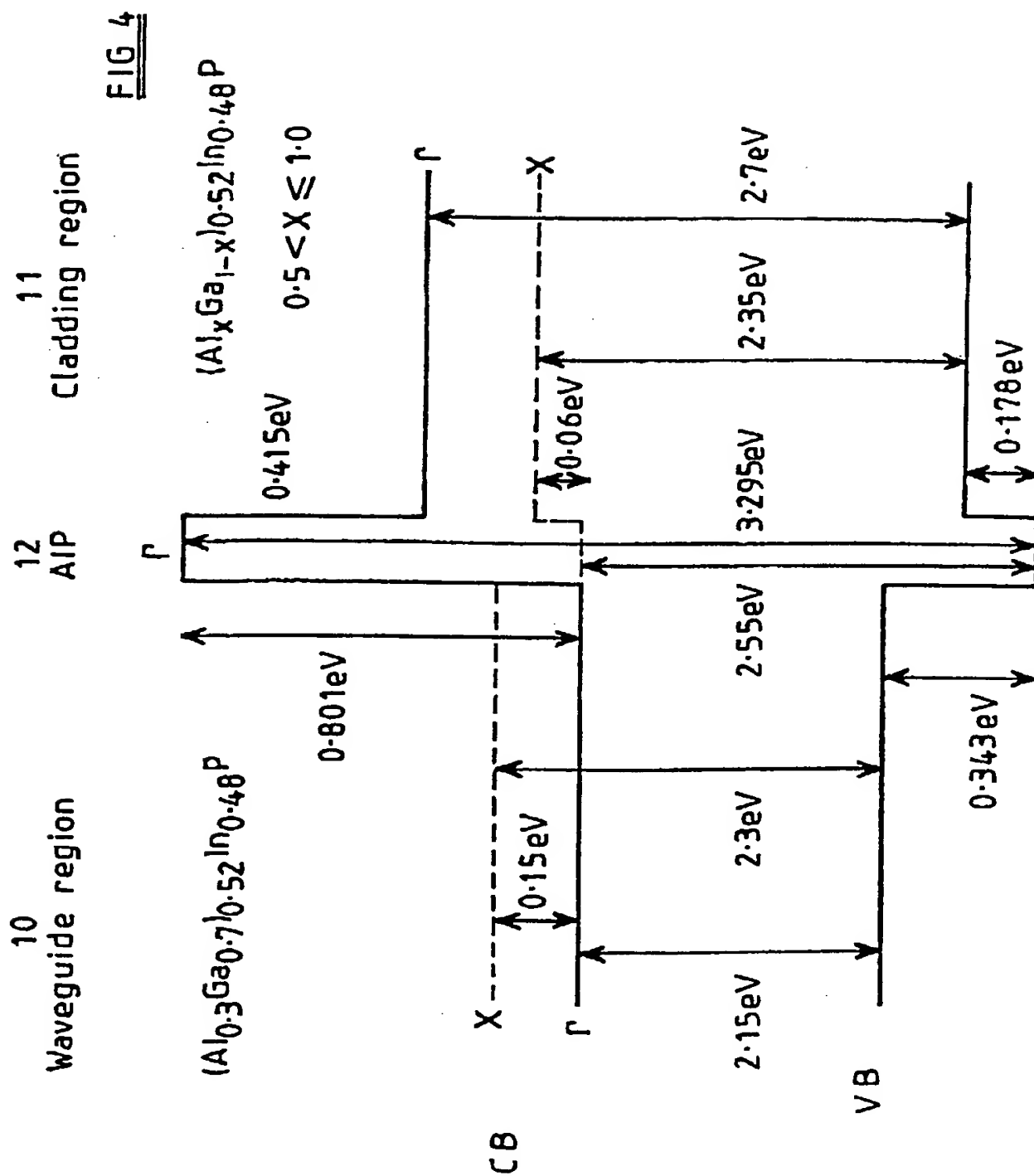


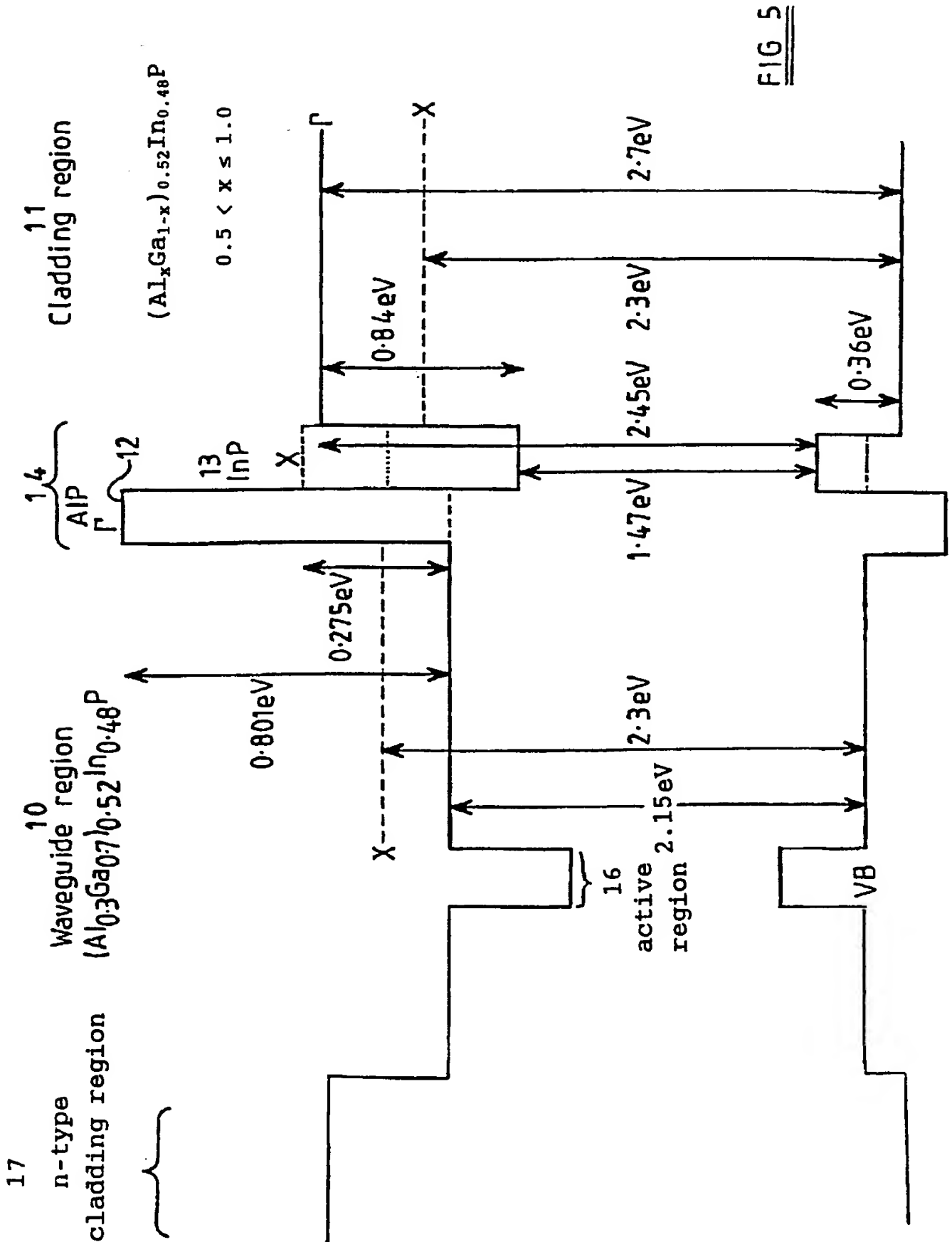
A diagram of the variation of the $\text{Ga}_{0.52}\text{In}_{0.48}\text{P}/(\text{AlGa})\text{InP}$ heterobarrier height (meV) as a function of aluminium mole fraction in the quaternary alloy assuming a 67:33 band offset ratio

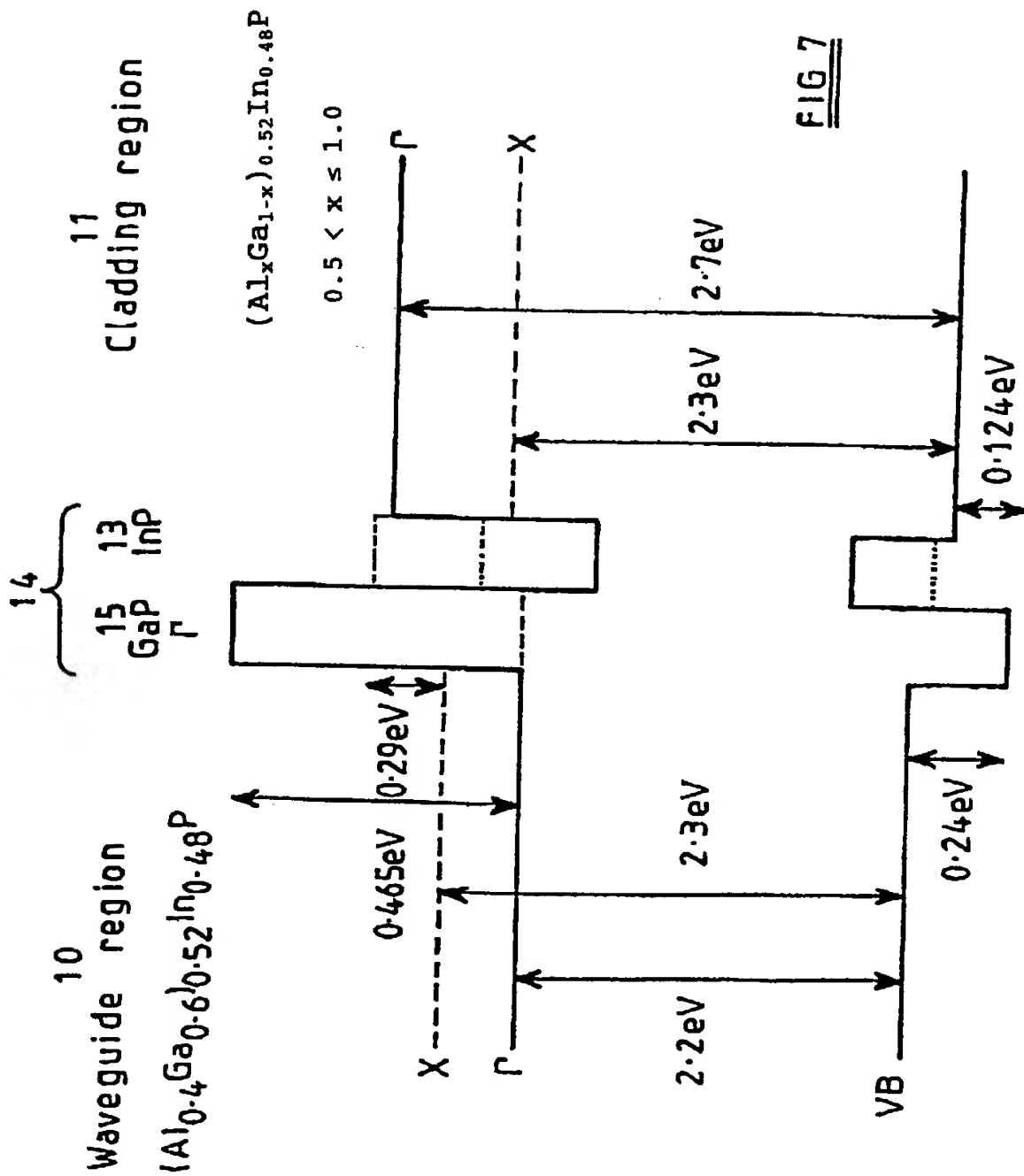
FIG 1

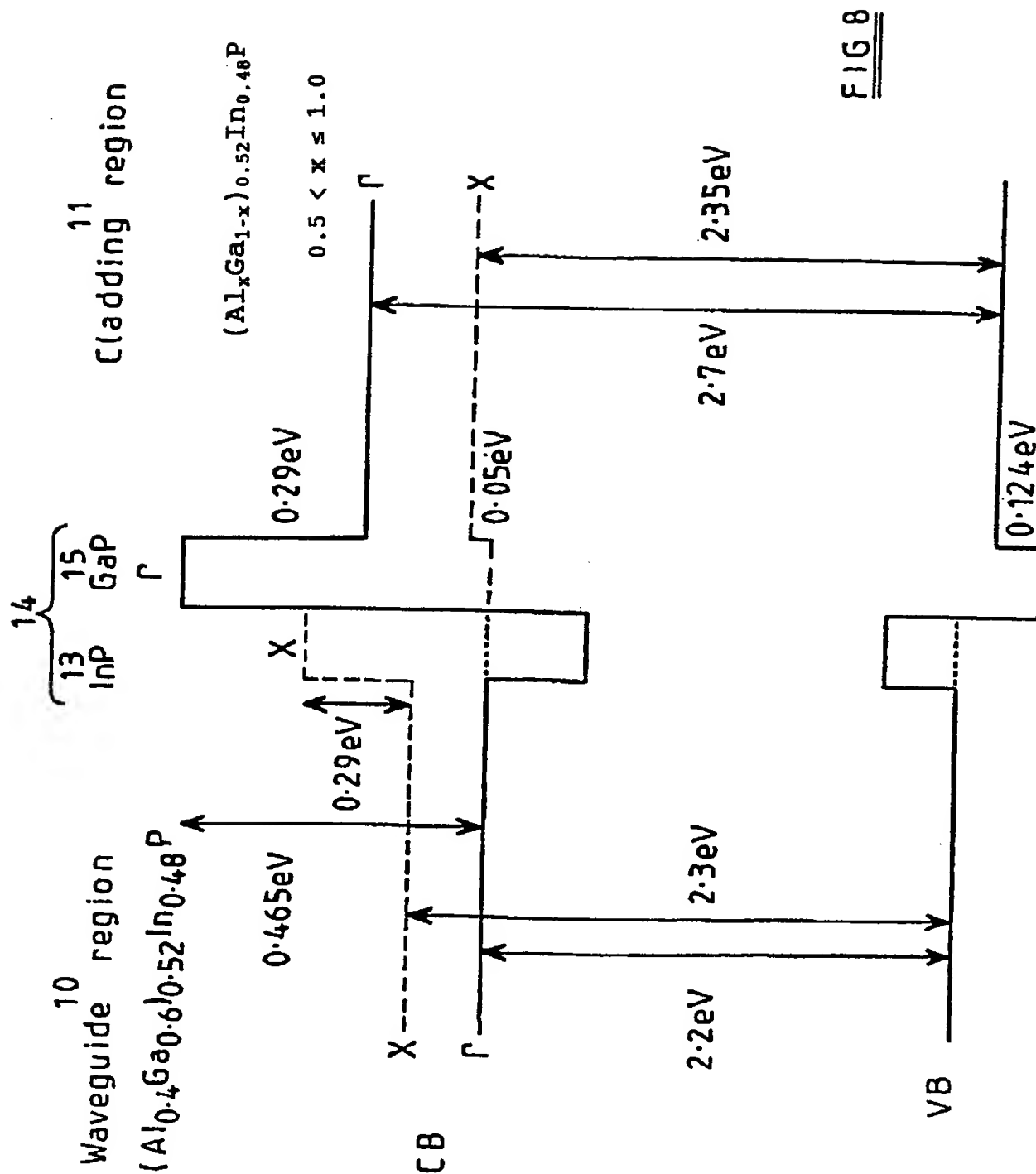


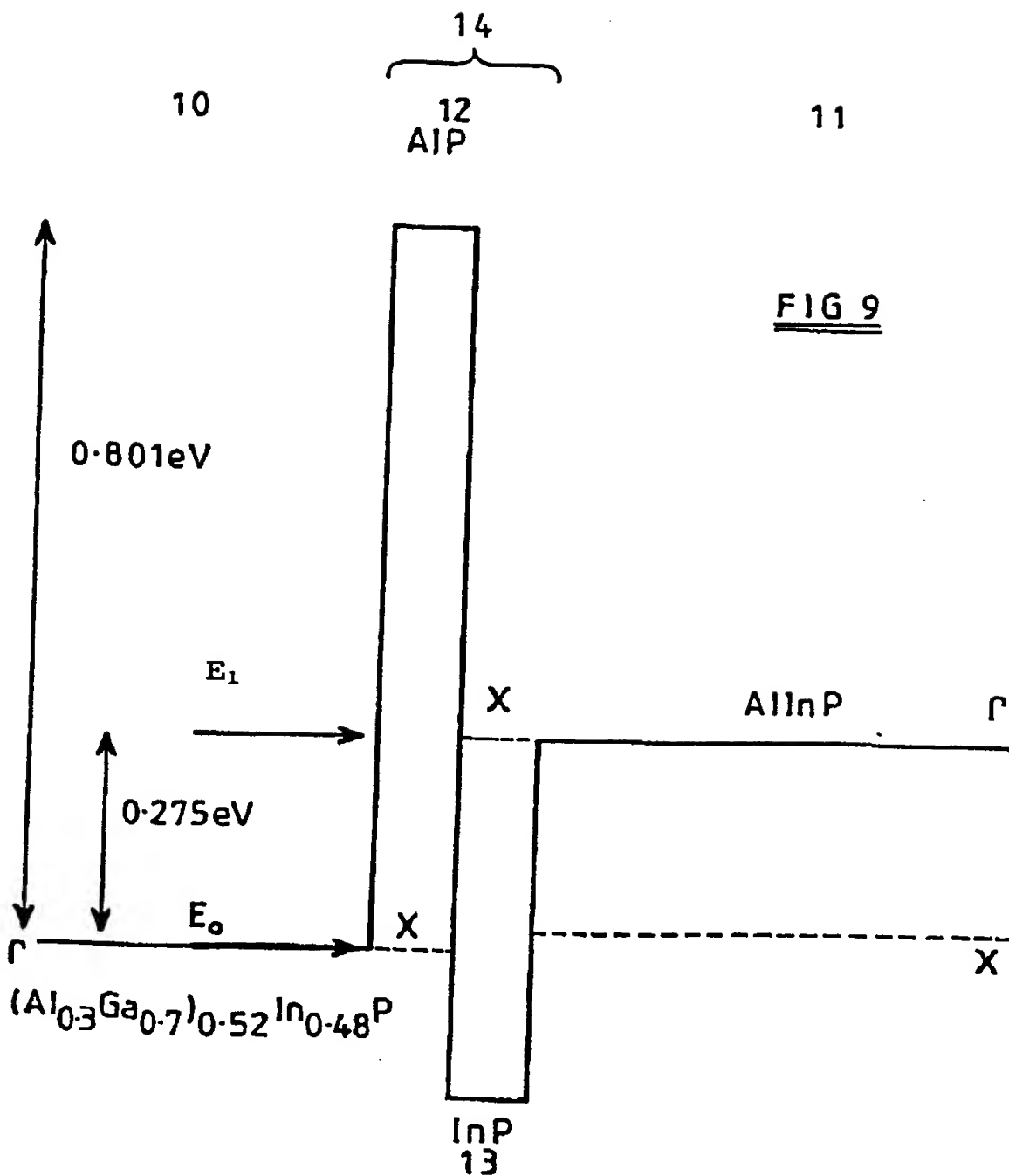












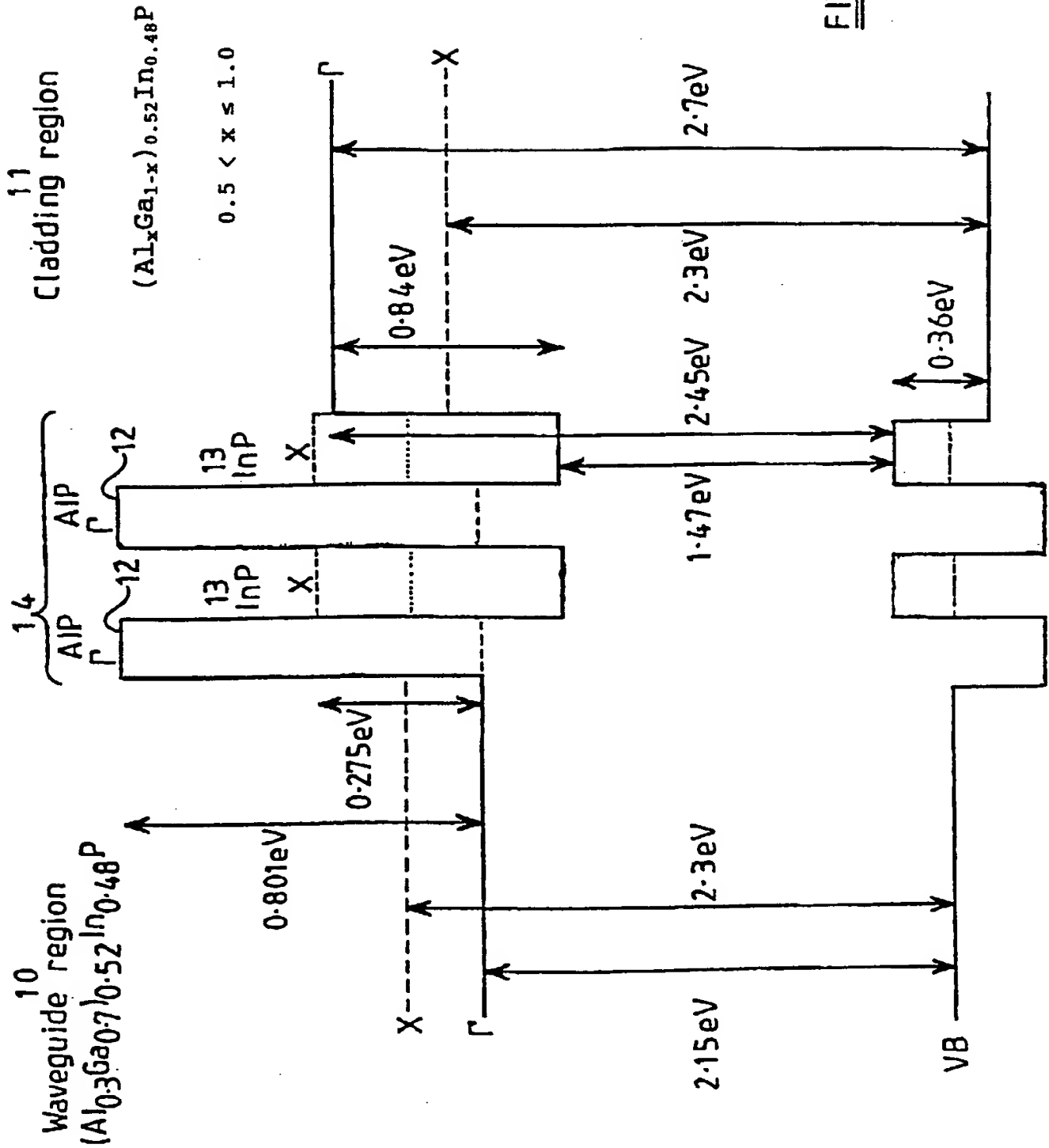
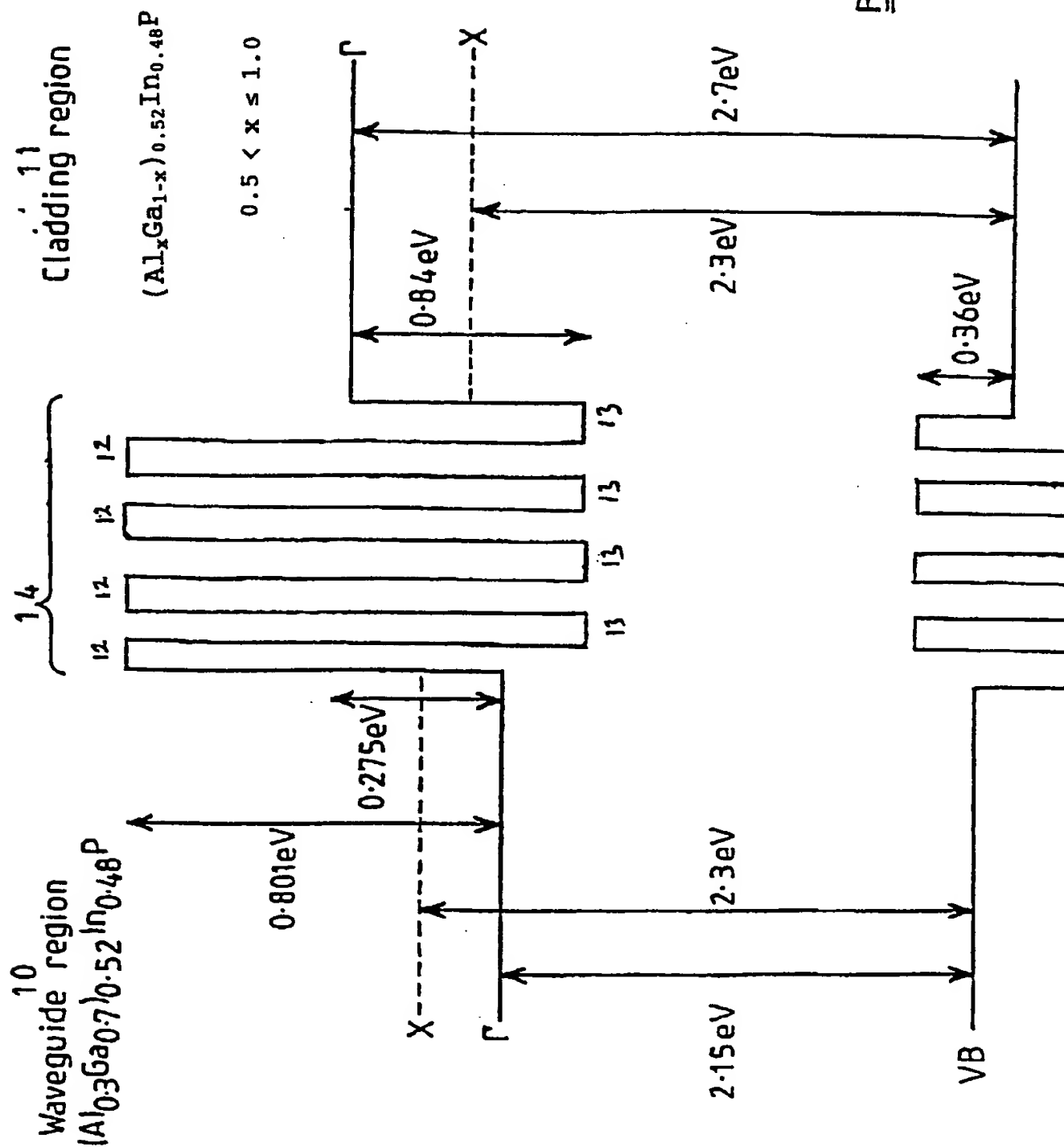


FIG 10



INTERNATIONAL SEARCH REPORT

Inter: Application No

PCT/JP 99/07053

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01S5/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 425 041 A (SEKO YASUJI ET AL) 13 June 1995 (1995-06-13) column 5, line 26-34 column 7, line 25-32 claim 1; figures 5,6	1,2
A		20
A	US 5 509 024 A (BOUR DAVID P ET AL) 16 April 1996 (1996-04-16) cited in the application column 2, line 48-58 column 3, line 55-65; figures 2,3	1,20
A	EP 0 849 848 A (SHARP KK) 24 June 1998 (1998-06-24) cited in the application figure 6	1,20
	-/-	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

27 April 2000

Date of mailing of the international search report

16/05/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Claessen, L

INTERNATIONAL SEARCH REPORT

Int: Application No
PCT/JP 99/07053

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 2 320 610 A (SHARP KK) 24 June 1998 (1998-06-24) cited in the application the whole document	1,20
A	MORRISON A P ET AL: "Simple effective mass model to include the effects of Gamma -X mixing in multiquantum barriers" OPTICAL ENGINEERING, DEC. 1994, USA, vol. 33, no. 12, pages 3926-3930, XP002136521 ISSN: 0091-3286 the whole document	1,20

INTERNATIONAL SEARCH REPORT

information on patent family members

Inter Application No

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